CitiC

MEMORANDUM – 2020-032

TO : THE TRADING PARTICIPANTS AND THE INVESTING PUBLIC

SUBJECT : TEMPORARY CLOSURE OF CMIC'S OFFICES

DATE : 28 NOVEMBER 2020

The offices of the Capital Markets Integrity Corporation ("CMIC") will be temporarily closed **from 1 December 2020 (Tuesday) to 3 December 2020 (Thursday)**. The operations of CMIC nonetheless continue as its officers and employees have an alternative work arrangement.

For particular concerns, please refer to the following contact details:

| Audit and compliance matters | acd@cmic.com.ph | (+63)9673259479 (+63)9052725925 |
|--|---|------------------------------------|
| Investigation and enforcement matters | <u>ied@cmic.com.ph</u> <u>info@cmic.com.ph</u> | (+63)9178545364 |
| Surveillance matters | sd@cmic.com.ph | (+63)9052725917 (+63)9673257507 |
| Finance, human resources, and administrative matters | hradf@cmic.com.ph | (+63)9452066728 |

For investor/client concerns, please send an e-mail to <u>info@cmic.com.ph</u>, visit CMIC's website (<u>http://www.cmic.com.ph</u>), and/or call any of the following contact numbers: (+63)9178545364 and (+63)9673259479.

With regard to submission of reports or compliance with reportorial requirements, the same may be done via electronic mail, except for the bi-monthly RBCA reports, which may be submitted via iPSE.

For ongoing regular audits, the requested documents shall be submitted via e-mail to <u>lemansilungan@cmic.com.ph</u> and the e-mail addresses of the handling auditor/s, within the period previously given by CMIC. Related inquiries may be sent to the same e-mail addresses

As regards pending requests for list of beneficial owners and other material documents, copies thereof may be sent to the e-mail address/es of the assigned Surveillance Department analyst/s.

Finally, all informal hearings and exit conferences may be held via teleconferencing. Concerned parties shall be informed of the schedules and arrangements thereof.

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For your information and/or compliance.

DAISY/P. ARCE President

ACD